

Optimization of the Prompt Fission Neutron Spectra of $^{239}\text{Pu}(n, f)$ via Criticality Benchmarking*

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Prompt fission neutron spectra (PFNS) play a significant role in nuclear science and technology. In this work, we report on the evaluation of the PFNS for ^{239}Pu through both differential and integral experimental data. We introduce a method that leverages integral criticality benchmark experiments to constrain the PFNS data. By constructing a covariance matrix, we perturbed the measured central values of the PFNS. The PFNS were sampled using two types of covariance matrices. One was generated with an assumed correlation matrix and incorporating experimental uncertainties, and the other was derived directly from experiment reports. The Joint Monte Carlo Transport (JMCT) code was employed to perform transport simulations on five criticality benchmark assemblies, utilizing the perturbed PFNS data. The extensive simulations resulted in an optimized PFNS that showed improved agreement with integral criticality benchmark experiments. This study introduces a novel approach for optimizing differential experimental data through integral experiments, especially when a covariance matrix is not provided.

Keywords: Prompt fission neutron spectra, Differential nuclear data, Criticality benchmark, Random sample, Transport simulation

I. INTRODUCTION

Nuclear fission has been widely applied in the nuclear engineering, due to the substantial energy release during the process. Despite the existence of many models that aid in understanding the mechanism of nuclear fission [1–6], the current understanding of the actual processes occurring in nuclear fission remain incomplete, both in terms of experimental observations and theoretical research [7–10]. Nuclear data serves as the fundamental basis for understanding the physical mechanisms of nuclear fission and its diverse applications in nuclear engineering. As an important fission nucleus, ^{239}Pu is widely used in accelerator-driven subcritical systems and fast neutron reactors [11, 12]. So the nuclear data of neutron-induced fission of ^{239}Pu has received extensive attention. Specifically, the prompt fission neutron spectra (PFNS) of neutron-induced ^{239}Pu fission exhibit significant applications in reactor calculations, shielding, nuclear fuel management and the transmuting nuclear wastes. This has naturally inspired continuous interests in enhancing the accuracy of PFNS for these applications [13, 14].

Measuring Prompt Fission Neutron Spectra (PFNS) is a crucial task in nuclear physics, commonly achieved through the use of a fission chamber combined with the neutron time-of-flight (TOF) technique. This method obtains the energy of fission neutrons by measuring the time difference between the time signals generated by the fission fragments and the time signals of the emitted neutrons [15]. With the development of experimental detection techniques, several experiments have measured different energy regions of the PFNS of $^{239}\text{Pu}(n, f)$ with various incident neutron energies [16–20]. However, experimental data often suffer from low statistics and complex

analyses, which can result in incomplete coverage of all energy domains, large uncertainties, and inconsistencies [16–20].

In practice, the evaluated data are used in various engineering applications. The evaluation process often involves both experimental data and theoretical calculations. Typical models, such as the Maxwellian distribution, Watt spectrum, and Los Alamos Model [21–23], are often used for evaluation, aiming to provide the evaluated data across the whole energy range. However, it is important to note that the current state of PFNS within evaluated nuclear data libraries is not yet fully satisfactory. Despite the existence of several international libraries such as CENDL-3.2 [24], ENDF/B-VIII.0 [25], JENDL-5 [26], JEFF-3.3 [27] and others, inconsistencies in PFNS remain. This underscores the need for further research to enhance the accuracy and consistency of the data.

The uncertainty in differential experimental data is often relatively large. Due to the use of similar detection methods in most experiments, this can lead to some unidentified biases or errors, resulting in wrong evaluation of mean values and covariances [28]. Given that the measurement accuracy of physical quantities in integral experiments is often higher and directly related to practical applications, it can be considered to use integral experimental data to constrain differential experimental data. Currently, there are studies that aim to provide guidance for improving evaluation data through integral experiments. These methods typically constrain microscopic data by simulating integral experiments, employing models to represent the microscopic data and utilizing sensitivity analysis and Bayesian methods to adjust the microscopic data [28–31].

However, the uncertainties derived from the propagation of model parameter uncertainties in PFNS models tend to be smaller at certain outgoing energies, and this is often not consistent with the uncertainties typically observed in experimental PFNS. Furthermore, the uncertainties attributable to the model's inherent shortcomings are usually not estimated and

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70 not included in the evaluation process [32–34]. In order to
 71 both minimize the impact of the model on data optimization
 72 and circumvent the sensitivity analysis requirement that the
 73 integral quantity must exhibit a linear response to the differ-
 74 ential quantity, we perturb the latest differential experimental
 75 data to generate a significant amount of PFNS data. Subse-
 76 quently, these perturbed PFNS are then incorporated as input
 77 into the transport simulation. By comparing the calculated in-
 78 tegral quantity k_{eff} for the criticality benchmarks, we evaluate
 79 the quality of the perturbed PFNS.

80 Generally, we can perform the perturbation based on the
 81 covariance matrix. However, the covariance matrix in experi-
 82 ments typically needs to be obtained through sufficient experi-
 83 mental information, such as counting statistics, background
 84 correction, detector efficiency determination, finite time res-
 85 olution, an uncertainty in the TOF length, etc. [35]. Further-
 86 more, many experimentalists do not report this information
 87 clearly enough, especially in some early experiments.

88 Therefore, in the present work, we utilized an assumed cor-
 89 relation matrix combined with experimental uncertainties to
 90 generate a covariance matrix. Additionally, we conducted
 91 sampling using the covariance matrix provided by the exper-
 92 iment for comparative analysis. This approach offers a novel
 93 idea for optimizing microscopic experimental data through
 94 integral experiments. Specifically, this method can be applied
 95 to the optimization of microscopic experimental data when a
 96 covariance matrix is not provided.

II. METHODS

98 Considering the relatively large uncertainties associated
 99 with differential experiments, and taking into account the
 100 higher precision of integral experimental data, as well as the
 101 fact that criticality benchmark experiments have already been
 102 employed for validating and improving nuclear data [36, 37],
 103 alongside their similarity to engineering applications, we aim
 104 to maximize the utilization of the existing experimental data.
 105 To achieve this, we employ the integral nuclear data k_{eff}
 106 as the target quantity to constrain the microscopic nuclear
 107 data, specifically the prompt fission neutron spectra (PFNS)
 108 of ^{239}Pu . The main idea is to use the differential experimen-
 109 tal data and its associated uncertainty information to perturb
 110 the experimental values, and then utilize these perturbed data
 111 in transport simulations to determine the optimal differential
 112 data.

113 To minimize the impact of models on this method, we
 114 adopt a data-driven approach to constrain the prompt fission
 115 neutron spectra (PFNS). As the Watt-Maxwellian function
 116 has four adjustable parameters, it exhibits flexibility in de-
 117 scribing PFNS [32, 38]. Consequently, experimental data are
 118 typically well-fitted by the Watt-Maxwellian function. We ex-
 119 clusively employ the Watt-Maxwellian function to describe
 120 the differential data, leveraging its properties of normaliza-
 121 tion and non-negativity to ensure that the PFNS maintains the
 122 characteristics of shape spectra and also to enable extrapola-
 123 tion of differential data beyond the available range. The Watt-
 124 Maxwellian function is a linear combination of a Maxwellian

125 and a Watt distribution as:

$$f_{WM}(E) = w_M f_M(E, E_M) + (1 - w_M) f_W(E, a_W, b_W) \\ f_M(E, E_M) = K_M \sqrt{E} e^{-E/E_M} \\ f_W(E, a_W, b_W) = K_W e^{-E/a_W} \sinh \sqrt{b_W E} \quad (1)$$

126 where w_M is the weight of the Maxwellian function, w_M ,
 127 E_M , a , b are all adjustable parameters that can be used for
 128 fitting the function. By fitting the differential experimental
 129 data, we can obtain the PFNS in the form of Watt-Maxwellian
 130 under different incident energies.

A. Generation of perturbed PFNS

132 The differential experimental data used in this method is
 133 sourced from the EXFOR database and is reported in the
 134 Refs. [39, 40] by Kelly *et al.* These references report the
 135 latest experimental data on neutron-induced prompt fission
 136 neutron spectra (PFNS) of ^{239}Pu , covering 20 average inci-
 137 dent energy points ranging from 1 to 20 MeV. Compared with
 138 the measurement results in previous literature, this dataset has
 139 achieved breakthroughs in terms of accuracy, detailed uncer-
 140 tainty analysis, and thorough investigations of necessary cor-
 141 rections [39, 41].

143 By utilizing the experimental uncertainty information, we
 144 proposed to perturb the data around the experimental mea-
 145 surements to generate perturbed PFNS for the transport code
 146 simulations. However, due to the large number of data points,
 147 a gridded approach for generating points, where candidate
 148 values are generated at each energy point based on the mean
 149 values and error bars, would significantly slow down the cal-
 150 culation process as the computational load grows expo-
 151 nentially with the number of data points. To reduce the com-
 152 putational cost, this work introduces a sampling method that
 153 utilizes a covariance matrix to reduce the dimensionality of
 154 data variations. This enables us to obtain relatively optimized
 155 PFNS with fewer simulation calculations, thereby improving
 156 computational efficiency.

157 The experimental nuclear reaction database EXFOR of-
 158 ten includes experimental data accompanied by uncertain-
 159 ties, but covariance data is not always provided. To pursue
 160 a method applicable to general scenarios, particularly when
 161 confronted with the absence of a reported covariance matrix,
 162 we have constructed the correlation matrix based on certain
 163 characteristics observed in the correlation matrix provided in
 164 Ref. [39, 40], and generate the covariance matrix by combin-
 165 ing the uncertainty information from the experiments. The
 166 correlation matrix diagram in Ref. [39, 40] shows an ex-
 167 tremely high correlation between PFNS at different neutron
 168 incident energies. Therefore, assuming that the correlation
 169 between different data points in the PFNS spectrum decreases
 170 exponentially with the square of their distance, as shown in
 171 Eq. 2, we can construct a covariance matrix based on this as-
 172 sumption and use it to perturb each data point of the PFNS
 173 spectrum at a single incident energy.

$$174 \quad \text{cor}_{ij} = e^{-\frac{d_{ij}^2}{2\sigma^2}} \quad (2)$$

$$d_{ij} = |i - \text{diag}_j|$$

175 where diag_j represents the diagonal element coordinate in the
 176 j th row and i denotes the position of the i th element within
 177 the same j th row. The value of σ indicates the rate at which
 178 the correlation decreases as the distance increases. This as-
 179 sumption can capture some of the patterns in the experimen-
 180 tal data and effectively reduce the degrees of freedom for data
 181 perturbation.

182 To mitigate the impact of excessive uncertainty at low en-
 183 ergy points on the fitting function, we select data points within
 184 the energy range consistent with the diagrams reported in the
 185 literature, specifically choosing points greater than 100 keV
 186 for outgoing neutron energies. Based on the above descrip-
 187 tion, we use the total uncertainty as the standard deviation,
 188 which is the square root of the variance. To obtain the covari-
 189 ance matrix, we rely on the definition of correlation provided
 190 in Eq. 3 [42]. From this definition, it is straightforward to
 191 derive Eq. 4. By combining this derived equation with the
 192 assumed correlation matrix, we are able to compute the co-
 193 variance matrix.

$$194 \quad \text{cor}_{ij} = \frac{\text{cov}_{ij}}{\sqrt{\text{cov}_{ii}\text{cov}_{jj}}} \quad (3)$$

$$\text{var}_i = \text{cov}_{ii}$$

$$195 \quad \text{cov}_{ij} = \text{cor}_{ij} \sqrt{\text{cov}_{ii}\text{cov}_{jj}} \quad (4)$$

196 where the var denotes the variance vector, cor_{ij} represents the
 197 element in the i th column and j th row of the correlation ma-
 198 trix, and cov_{ij} represents the element in the i th column and
 199 j th row of the covariance matrix. Using the generated co-
 200 variance matrix, we can perturb the differential experimental
 201 data, thereby producing PFNS discrete points near the differ-
 202 ential experimental data. Since the new PFNS is obtained
 203 through sampling, it does not inherently possess the prop-
 204 erties of a shape spectrum. To address this, we employ the
 205 Watt-Maxwellian function to fit the perturbed data in order to
 206 generate a continuous, normalized, and non-negative PFNS.
 207 The fitting results are illustrated in Fig. 1.

208 As depicted in Fig. 1, random sampling utilizing the co-
 209 variance matrix effectively generates perturbed data proximal
 210 to the experimental data, and the Watt-Maxwellian function
 211 exhibits a robust fit to these perturbed data points. For a com-
 212 prehensive set of PFNS encompassing various incident en-
 213 ergies, a strong correlation across PFNS at different incident
 214 energies can be achieved by selecting a uniform random num-
 215 ber seed. This novel sampling and fitting methodology yields
 216 a substantial quantity of continuous PFNS.

217 B. Using the perturbed data for transport calculations.

218 To correlate differential data with integral data, we can
 219 employ transport calculations, using differential data as in-
 220 put and generating integral data as output, which can then

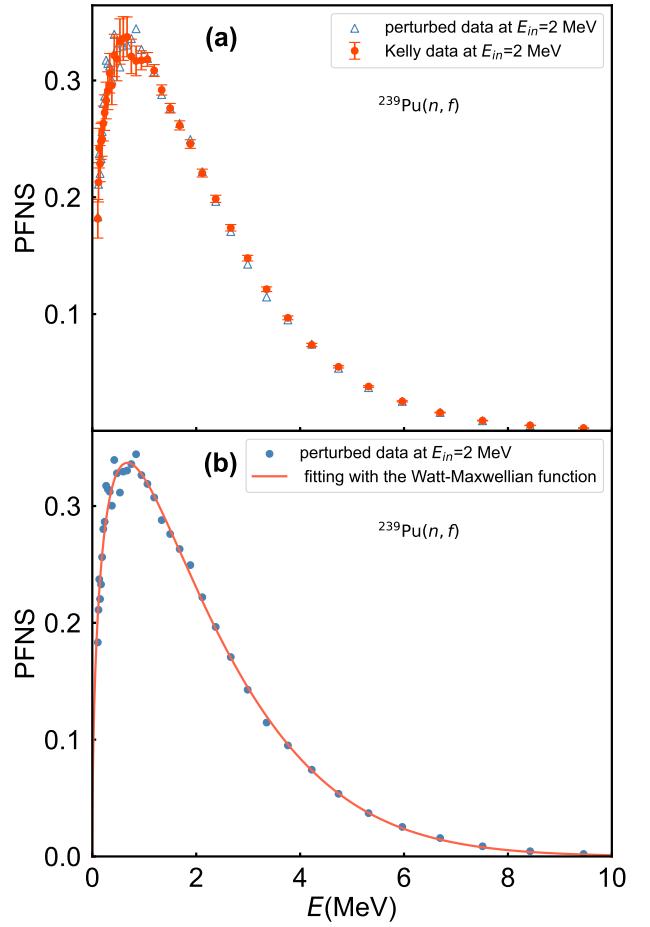


Fig. 1. (Color Online) Prompt fission neutron spectra (PFNS) of $^{239}\text{Pu}(n, f)$ for (a) perturbed data, shown by blue hollow triangles, in comparison to literature values shown by red points[39], and (b) perturbed data, shown by blue points, along with the fitting result to the data.

221 be compared with the benchmarks. In this study, the Joint
 222 Monte Carlo Transport (JMCT) code was utilized to per-
 223 form criticality computations. [43–45] To optimize the PFNS,
 224 during transport calculations, except for the PFNS data, all
 225 other nuclear data were obtained from the ENDF/B-VIII.0 li-
 226 brary [25]. To generate PFNS data suitable for utilization in
 227 this code, we employ the Nuclear Data Processing System
 228 (NJOY2016) to process the perturbed PFNS data, transform-
 229 ing it into ACE format [46]. To facilitate subsequent com-
 230 parisons with the results from ENDF/B-VIII.0, we adopt the
 231 same incident energy selections as those in ENDF/B-VIII.0.
 232 Since the experimental data do not perfectly align with this set
 233 of incident energies, we employ linear interpolation to gen-
 234 erate PFNS for various incident energies. As the range of
 235 experimental incident energies is slightly narrower than that
 236 of ENDF/B-VIII.0, we opt to extrapolate PFNS for energies
 237 below the minimum experimental average incident energy of
 238 1.54 MeV or above the maximum experimental incident en-
 239 ergy of 19.59 MeV in ENDF/B-VIII.0. Specifically, we uti-
 240 lize a consistent approach of linear extrapolation, analogous

241 to the aforementioned linear interpolation method, to predict
242 PFNS at these incident energies.

243 Given that the differential experimental data employed
244 in this study is limited to an incident energy range above
245 1 MeV, the selection of fast neutron spectra becomes the
246 more appropriate choice for this specific energy domain. To
247 minimize the possible uncertainty in the transport process
248 while also covering as much of the experimental data re-
249 gion as possible, we have selected five criticality bench-
250 marks with relatively straightforward geometric configura-
251 tions. Each of these benchmarks is characterized by a dom-
252 inant "FAST" flux spectrum and is directly associated with
253 the nuclide ^{239}Pu . The specific benchmark cases employed
254 in this study are Pu-Met-Fast-002, Pu-Met-Fast-003, Pu-Met-
255 Fast-008, Pu-Met-Fast-009, and Pu-Met-Fast-010 [47, 48].
256 In the benchmarks utilized above, Pu-Met-Fast-002 denotes a
257 bare experiment (20.1 at.% ^{240}Pu). Pu-Met-Fast-003 repre-
258 sents an array of plutonium metal buttons in an unmoderated
259 configuration. Pu-Met-Fast-008 signifies an experiment in-
260 volving a thorium reflector. Pu-Met-Fast-009 represents an
261 aluminum reflected experiment. Lastly, Pu-Met-Fast-010 de-
262 notes an experiment utilizing a natural uranium reflector [49].
263 By utilizing these relatively straightforward criticality bench-
264 mark assemblies, which encompass a diversity of configu-
265 rations, we aim to enhance the robustness of constraints on
266 differential data through the integration of experimental data.
267 The input for JMCT uses CAD modeling [45], and the mod-
268 els of these criticality benchmarks are constructed based on
269 information sourced from the MIT Computational Reactor
270 Physics Group [50].

271 All cases were executed using the same perturbed data,
272 each simulation using 10000 neutrons per cycle, with 100 in-
273 active cycles and 1400 additional active cycle. The uncer-
274 tainty of the calculated eigenvalue k_{eff} exhibits a slight vari-
275 ability depending on the device and input files, yet it consis-
276 tently remains below 20 pcm. This value is notably smaller
277 in comparison to the benchmark uncertainties.

278 C. Calculated δk_{eff}

279 To evaluate the quality of each perturbed prompt fission
280 neutron spectra (PFNS), we conduct a comparative analy-
281 sis between the eigenvalue k_{eff} derived from transport sim-
282 ulations for five criticality benchmarks and their respective
283 benchmark values. Specifically, we employ the relative
284 calculation-to-experimental ratio, denoted as $\frac{|C-E|}{E}$, to quan-
285 titatively assess the deviation between the calculated k_{eff} and
286 the benchmark k_{eff} values, which are sourced from Ref. [47].
287 Notably, the benchmark k_{eff} values for these benchmark in-
288 tegration experiments are all 1.000. The relative difference
289 between the calculated and experimental values is defined by
290 Eq. 5, where $k_{\text{eff},b}^{\text{cal}}$ represents the calculated k_{eff} for the b-
291 th criticality benchmark assembly, and $k_{\text{eff},b}^{\text{ben}}$ represents the
292 benchmark value of k_{eff} for the same assembly. Furthermore,
293 we introduce the total relative difference, denoted as $\delta k_{\text{eff}}^{\text{tot}}$,
294 which is defined as the average of $\delta k_{\text{eff},b}$ calculated for all
295 criticality benchmarks, as outlined in Eq. 6. This approach en-

296 ables us to identify the most suitable perturbed PFNS that
297 best captures the integral experimental behavior and achieves
298 optimal results.

$$299 \delta k_{\text{eff},b} = \frac{|k_{\text{eff},b}^{\text{cal}} - k_{\text{eff},b}^{\text{ben}}|}{k_{\text{eff},b}^{\text{ben}}} \quad (5)$$

$$300 \delta k_{\text{eff}}^{\text{tot}} = \frac{\sum_b \delta k_{\text{eff},b}}{5} \quad (6)$$

301 To provide clarity on the entire process of utilizing integral
302 experimental data to constrain differential experimental data,
303 we have created a flowchart for the aforementioned method,
304 which is presented in Fig. 2. By sampling from the covariance
305 matrix, we obtain perturbed prompt fission neutron spectra
306 (PFNS) that are distributed near the differential experimen-
307 tal data. These perturbed PFNS are then processed using the
308 NJOY code to generate data in ACE format. For each set of
309 perturbed PFNS, the JMCT is employed to perform transport
310 simulations on five criticality benchmarks. Through exten-
311 sive simulations, we are able to identify the perturbed PFNS
312 that not only exhibit the closest alignment with the benchmark
313 values but also maintain a close proximity to the original dif-
314 fferential experimental data. This approach ensures a robust
315 and reliable method for constraining differential experimen-
316 tal data using integral experimental information, ultimately
317 enhancing the accuracy and applicability of the differential ex-
318 perimental data.

319 III. RESULTS AND DISCUSSION

320 A. Calculation results from generated covariance matrix

321 Following the steps in Fig. 2, initially, we generate the
322 covariance matrix based on Eq. 2, with the assumption that
323 $\sigma = 1$. This assumption allows us to derive a correlation
324 matrix that exhibits a relatively rapid decrease in correlation
325 between data points. By incorporating the uncertainty data
326 provided in the experiment [40], we can obtain the covari-
327 ance matrix for this specific scenario. Subsequently, we em-
328 ploy this covariance matrix to perform random sampling of
329 data points, generating perturbed datasets. In this framework,
330 we executed 1,000 samplings and calculated the correspond-
331 ing $\delta k_{\text{eff}}^{\text{tot}}$ for each sampling. This process ultimately yields
332 the distribution of $\delta k_{\text{eff}}^{\text{tot}}$, as presented in Fig. 3.

333 Fig. 3 (a) illustrates the impact of PFNS sampled from gen-
334 erated covariance data on transport calculations. The scat-
335 tered random distribution of the points in the figure reflecting
336 the stochastic nature of the sampling process. It is evident that
337 different PFNS lead to variations in the computed k_{eff} values,
338 demonstrating that adjustments to differential data within the
339 error bands can have an effect on integral data. This further
340 validates the effectiveness of constraining differential exper-
341 iments through integral experiments. Fig. 3 (b) displays a
342 histogram of the statistical distribution of $\delta k_{\text{eff}}^{\text{tot}}$, revealing a

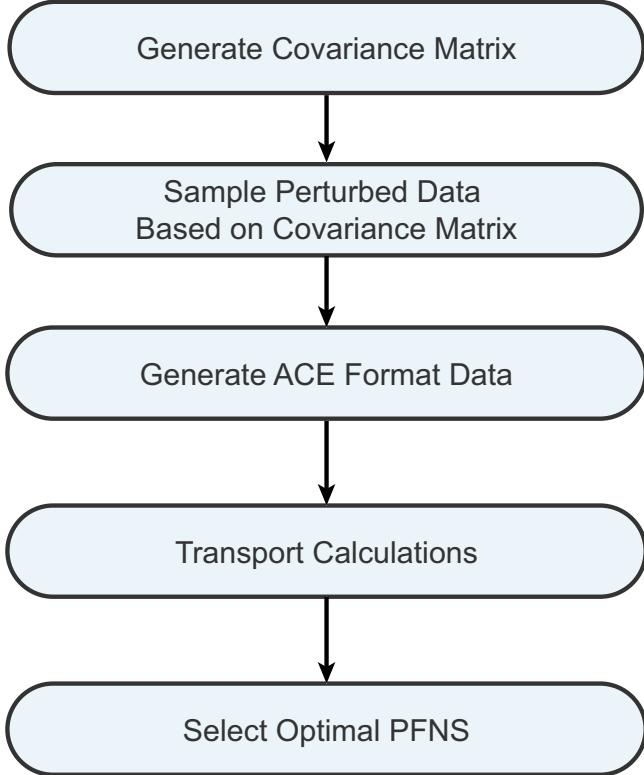


Fig. 2. Flowchart of optimizing the differential PFNS process through the use of integration experiments

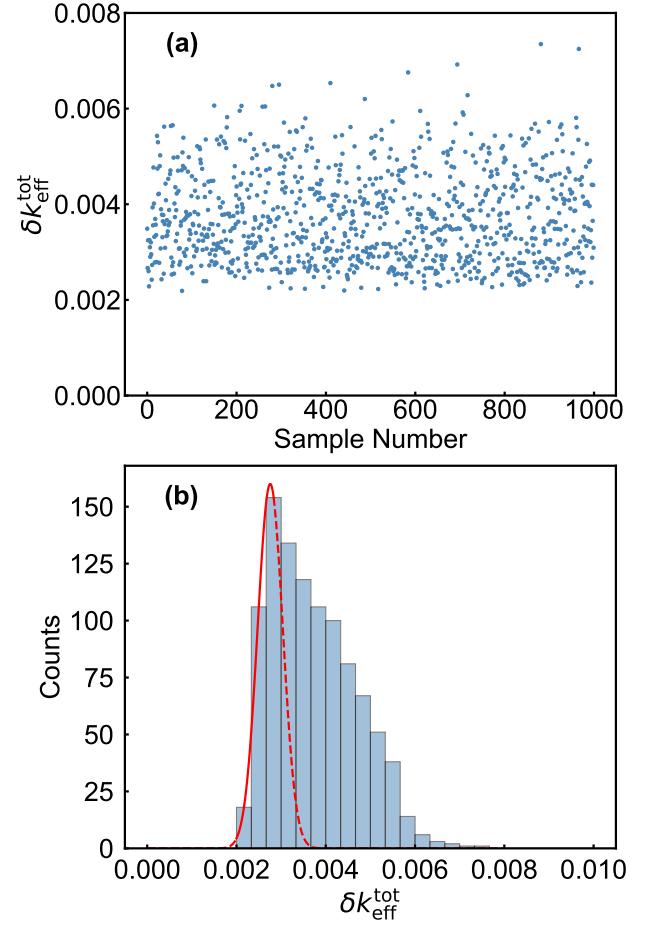


Fig. 3. (Color Online) Assuming $\sigma = 1.0$, the distribution of $\delta k_{\text{eff}}^{\text{tot}}$ obtained by comparing the transport calculated results with the benchmark values through is shown as follows: (a) a two-dimensional plot of $\delta k_{\text{eff}}^{\text{tot}}$ versus sample number; (b) a histogram of the statistical distribution of $\delta k_{\text{eff}}^{\text{tot}}$. The red line represents a Gaussian function fit to the histogram in the range of $\delta k_{\text{eff}}^{\text{tot}} = 0.002$ to 0.003 , with the red solid line indicates the fitting range.

343 rapid decrease at both ends of the distribution. The red Gaussian 344 function fit line in Fig. 3 (b) also shows that as $\delta k_{\text{eff}}^{\text{tot}}$ 345 becomes smaller, its statistical distribution exhibits an exponential 346 decay. This suggests that the current sampling, with 347 $\sigma = 1.0$, is statistically sufficient for obtaining the optimal 348 value. Additionally, Fig. 3 (b) shows that optimizing the 349 calculation of k_{eff} by adjusting only the PFNS method ultimately 350 leads to an optimal value of $\delta k_{\text{eff}}^{\text{tot}}$ near 0.002. The 351 optimal PFNS obtained through our sampling corresponds to 352 a $\delta k_{\text{eff}}^{\text{tot}}$ value of 0.00219. When calculations are performed 353 using the ENDF/B-VIII.0 library, the resulting $\delta k_{\text{eff}}^{\text{tot}}$ value 354 is 0.00299. This comparison indicates that our PFNS, perturbed 355 using the latest experimental data, performs better in 356 integral experimental validation compared to the PFNS in the 357 ENDF/B-VIII.0 library.

358 To broaden the scope for parameter variation, we have chosen 359 different values for σ in Eq. 2. By choosing distinct σ values, 360 we alter the rate of decrease in correlation for the correlation 361 matrix, thereby generating different covariance matrices. 362 Additionally, we have selected $\sigma = 0.1, 0.2, 0.5, 1.5, 2.0$, and 363 5.0, and employed the method detailed in Section II to generate 364 covariance matrices. Analogous to the case where $\sigma = 365 1.0$, we can compute $\delta k_{\text{eff}}^{\text{tot}}$ for a range of σ values. Owing to 366 the variations in the covariance matrix, the distribution of the 367 computed $\delta k_{\text{eff}}^{\text{tot}}$ will also exhibit differences. For each value 368 of σ , 1000 samples were taken, and the $\delta k_{\text{eff}}^{\text{tot}}$ calculated based 369 on the perturbed PFNS generated by the sampling is shown in 370 Fig. 4.

371 As can be observed from Fig. 4, although the distribution 372 of $\delta k_{\text{eff}}^{\text{tot}}$ varies under different σ values, its main characteristic 373 remains consistent: the distribution of $\delta k_{\text{eff}}^{\text{tot}}$ decreases 374 rapidly at both ends. Notably, at the left end of the horizontal 375 axis in Fig. 4, all cases exhibit the same characteristic as when 376 $\sigma = 1.0$, that is, as the value of $\delta k_{\text{eff}}^{\text{tot}}$ on the x axis of Fig. 4 377 decreases, its statistics drop exponentially, converging near 378 0.002. This indicates that the results obtained using a sample 379 size of 1000 are sufficient to generally represent the distribution 380 of $\delta k_{\text{eff}}^{\text{tot}}$. Although it is acknowledged that a larger sample 381 size would yield results closer to the optimal value when 382 using sampling methods to obtain the best PFNS, the current 383 sample size has already provided a satisfactory approximation.

385 So far, we have obtained the perturbed PFNS under seven 386 distinct σ values. We can now compile all of these results together, 387 allowing us to utilize the statistical information from the entire 388 sampling process. The overall distribution of $\delta k_{\text{eff}}^{\text{tot}}$

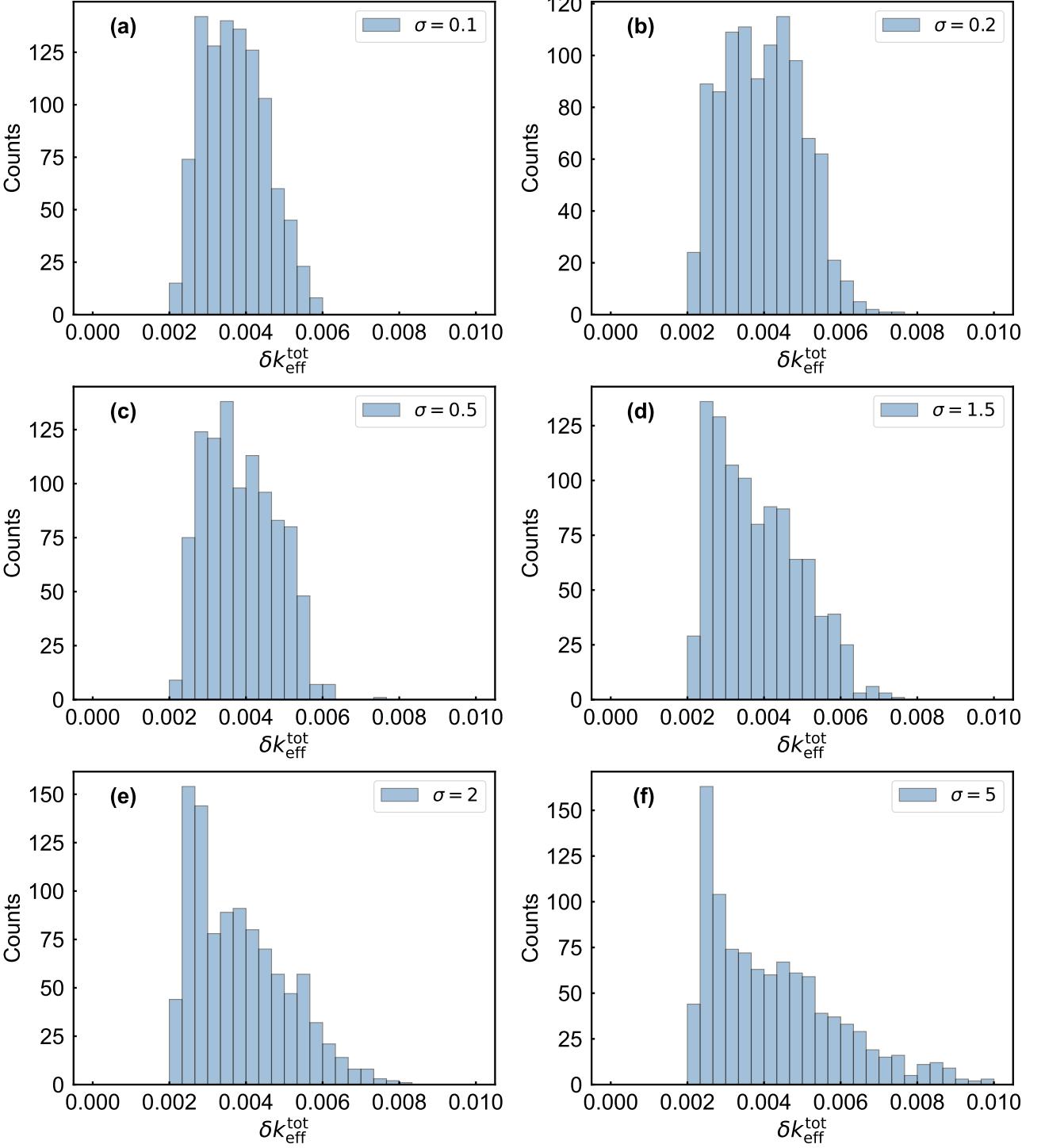


Fig. 4. The distribution of $\delta k_{\text{eff}}^{\text{tot}}$ obtained by comparing the transport calculated results with the benchmark values under the following case: (a) $\sigma = 0.1$; (b) $\sigma = 0.2$; (c) $\sigma = 0.5$; (d) $\sigma = 1.5$; (e) $\sigma = 2.0$; (f) $\sigma = 5.0$.

389 obtained from all sampling results is shown in Fig. 5. In the
 390 cases of various σ values mentioned earlier, the distribution
 391 of $\delta k_{\text{eff}}^{\text{tot}}$ decreases rapidly at both ends, especially showing
 392 an exponential decrease trend at the low $\delta k_{\text{eff}}^{\text{tot}}$ end and con-
 393 verges near 0.002. As a synthesis of the previous data, Fig. 5

394 naturally exhibits such characteristics in the distribution of
 395 $\delta k_{\text{eff}}^{\text{tot}}$. However, due to the improvement in statistics and the
 396 superposition of various σ cases, the statistical fluctuations
 397 of the distribution decrease, resulting in a more continuous
 398 distribution. The optimal $\delta k_{\text{eff}}^{\text{tot}}$ obtained for all the perturbed

399 PFNS generated through the method based on covariance ma-
 400 trix creation and sampling is **0.00210**. This value is very close
 401 to the optimal $\delta k_{\text{eff}}^{\text{tot}}$ previously obtained by considering only
 402 the case where $\sigma = 1.0$, which also indicates the rapid de-
 403 crease of $\delta k_{\text{eff}}^{\text{tot}}$ at the low $\delta k_{\text{eff}}^{\text{tot}}$ end.

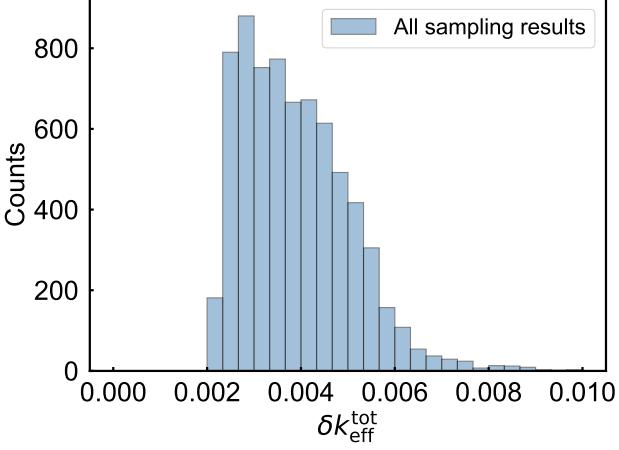


Fig. 5. The distribution of $\delta k_{\text{eff}}^{\text{tot}}$ across all sampling results, including those with $\sigma = 0.1, 0.2, 0.5, 1.0, 1.5, 2.0$ and 5.0 , totaling **7000** samples, is presented.

404 B. Calculation results from experimental covariance matrix

405 In recent years, with the growing emphasis on covariance
 406 data in experiments and evaluations, more experiments have
 407 started reporting covariance data. The experimental data
 408 utilized in this work includes the reported covariance ma-
 409 trix [39, 40]. We can also utilize the reported covariance ma-
 410 trix from the experiment to generate perturbed PFNS using
 411 the aforementioned sampling method. The specific method is
 412 consistent with that described in Sec. II, with the only modifi-
 413 cation being the replacement of the covariance matrix gener-
 414 ation part. We performed 600 samplings using the covariance
 415 data provided by the experiment and calculated the $\delta k_{\text{eff}}^{\text{tot}}$ val-
 416 ues based on each perturbed PFNS. The distribution of $\delta k_{\text{eff}}^{\text{tot}}$
 417 calculated using the PFNS sampled based on the experimen-
 418 tal covariance matrix is presented in Fig. 6. This distribution
 419 shows a relatively higher probability at lower $\delta k_{\text{eff}}^{\text{tot}}$ values,
 420 which is beneficial for achieving faster convergence to the
 421 optimal value of $\delta k_{\text{eff}}^{\text{tot}}$. The optimal PFNS obtained through
 422 this sampling method, utilizing the covariance matrix is di-
 423 rectly derived from the experiment, yields a $\delta k_{\text{eff}}^{\text{tot}}$ of 0.00208,
 424 which is slightly better than the previous results.

425 C. Discussion

426 We have generated covariance matrices for differential data
 427 using two distinct methods. One involves constructing a cor-
 428 relation matrix and combining it with experimental uncer-
 429 tainty information, henceforth referred to as Method 1. The

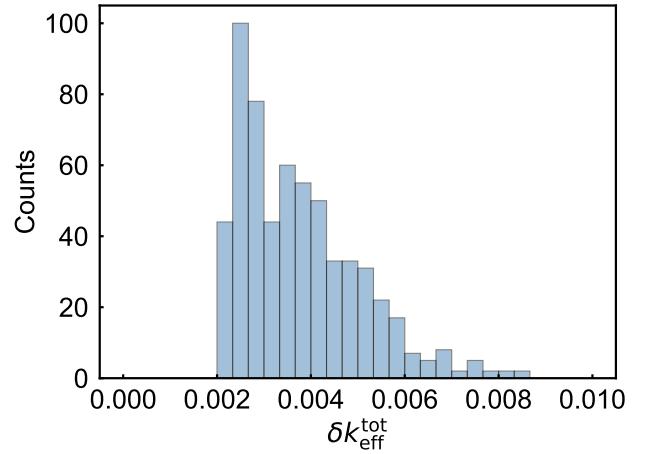


Fig. 6. The distribution of $\delta k_{\text{eff}}^{\text{tot}}$ calculated using the perturbed PFNS sampled from the experimental covariance matrix.

TABLE 1. A comparison between the optimal $\delta k_{\text{eff}}^{\text{tot}}$ values obtained through sampling using two methods for generating covariance matrices, and the $\delta k_{\text{eff}}^{\text{tot}}$ values calculated based on ENDF/B-VIII.0. Method 1 represents sampling based on the covariance matrix generated from the assumed correlation matrix and experimental uncertainties to obtain perturbed PFNS. Method 2 represents sampling based on the covariance matrix provided by the experiment to obtain perturbed PFNS.

source of PFNS	Method 1	Method 2	ENDF/B-VIII.0
$\delta k_{\text{eff}}^{\text{tot}}$	0.00210	0.00208	0.00299

430 other directly utilizes the covariance information provided by
 431 the experiment, henceforth referred to as Method 2. We per-
 432 turbed the experimental data near its error range through ran-
 433 dom sampling and used the perturbed PFNS for transport cal-
 434 culations to conduct integral validation, thereby achieving the
 435 optimization of the differential PFNS.

436 Based on the results presented in Fig. 5 and Fig. 6, the
 437 distributions of $\delta k_{\text{eff}}^{\text{tot}}$ produced by the perturbed PFNS ob-
 438 tained through both methods exhibit a rapid decrease at low
 439 $\delta k_{\text{eff}}^{\text{tot}}$ values. The optimal PFNS values converged by the two
 440 methods are not significantly different. We have summarized
 441 the results in Table 1, which demonstrates that the optimized
 442 PFNS obtained through our random sampling method per-
 443 forms better in integral experiments compared to the PFNS
 444 provided by ENDF/B-VIII.0. Furthermore, Figs. 5 and 6
 445 show that at the left end of the $\delta k_{\text{eff}}^{\text{tot}}$ distribution, it converges
 446 to a value near 0.002, rather than 0. This implies that merely
 447 adjusting the PFNS may not suffice to obtain a k_{eff} calculation
 448 value that is completely identical to the benchmark. How-
 449 ever, we can still obtain a relatively better PFNS through this
 450 method.

451 Although both Method 1 and Method 2 can optimize the
 452 PFNS to approach an optimal value, differences in the co-
 453 variance matrix lead to varying convergence speeds of the
 454 data near this optimal value. We can describe the distribution
 455 characteristics near low $\delta k_{\text{eff}}^{\text{tot}}$ by comparing the ratios of the

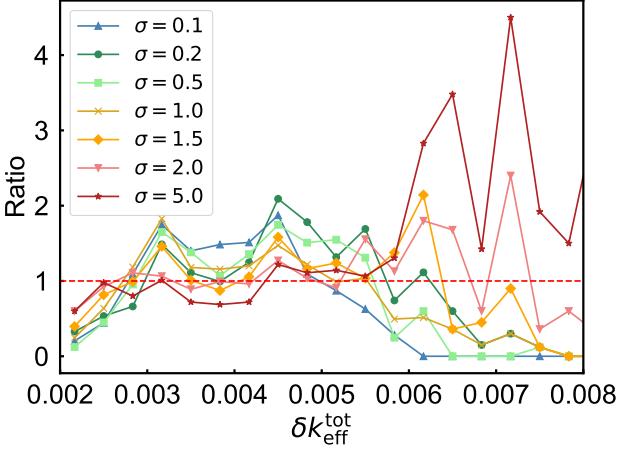


Fig. 7. (Color Online) The ratio depicted represents the comparison between the normalized distribution of $\delta k_{\text{eff}}^{\text{tot}}$ obtained under varying σ values using Method 1, and the normalized distribution of $\delta k_{\text{eff}}^{\text{tot}}$ calculated through covariance sampling derived from experimental data, denoted as Method 2. The red horizontal dashed line indicates a ratio of 1.

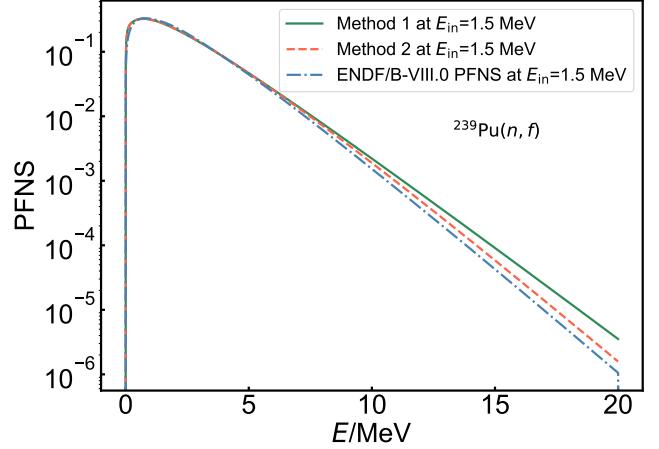


Fig. 8. (Color Online) A comparison of the optimized PFNS of $^{239}\text{Pu}(n, f)$ results obtained using Methods 1 and 2 with ENDF/B-VIII.0, illustrated using an incident energy of 1.5 MeV as a representative example.

distributions obtained from Method 1 and Method 2. Specifically, we use the distribution generated by Method 2 as the standard and compare the distribution histograms of $\delta k_{\text{eff}}^{\text{tot}}$ under different σ values in Method 1 with Fig. 6 by calculating their ratios. Specifically, after normalizing Fig. 3, Fig. 4(a), (b), (c), (d), (e) and (f) respectively, we calculated the ratio with the normalized histogram of Fig. 6 for each bin within the range of $\delta k_{\text{eff}}^{\text{tot}} = 0.002 \sim 0.008$ to obtain Fig. 7. The horizontal coordinates of the points in Fig. 7 represent the center values of the bins.

Fig. 7 illustrates that near the left end of the $\delta k_{\text{eff}}^{\text{tot}}$ distribution, the ratio of the distribution of $\delta k_{\text{eff}}^{\text{tot}}$ obtained from all samples in Method 1 to the distribution derived from Method 2 is less than one. This suggests that the covariance provided by the experimental data itself is more suitable for sampling to obtain the optimal PFNS. However, it should be noted that in cases where experimental covariance data are missing, Method 1, which constructs a correlation matrix combined with experimental uncertainty information to generate a covariance matrix for sampling, can also effectively approximate the optimal value. Although, compared to Method 2, it exhibits relatively lower efficiency near the optimal value. This observation aligns with the results presented in Table 1, demonstrating that Method 2 can achieve a better PFNS with fewer sampling instances.

Fig. 8 presents a comparison of the optimized PFNS results obtained using Methods 1 and 2 with ENDF/B-VIII.0, using an incident energy of 1.5 MeV as an example. It can be observed that the optimized PFNS shows slight variations from ENDF/B-VIII.0, and these variations contribute to the optimization of the integral experiment for calculating k_{eff} . As can also be seen from Fig. 8, the method of utilizing integral experiments to constrain differential experiments demonstrates effective adjustment of PFNS, and due to the normal-

ization of the spectrum, there is inevitably an interplay between the low-count and high-count parts of the final energy spectrum, and the distribution in the low-count region will be modulated by slight variations in the high-count region. From the results, the adjusted PFNS performs better in calculating criticality benchmarks. Consequently, we believe that the adjustment to the PFNS is beneficial for the entire spectrum, as it aligns well with both microscopic and integral experiments.

IV. SUMMARY AND PROSPECTS

In summary, we have introduced a method that utilizes integral criticality benchmark experiments to constrain the data of differential quantity, specifically the PFNS. By constructing a correlation matrix and combining it with experimental error data provided by experiments, we perturbed the measured central values. Subsequently, the perturbed PFNS was used as input data for transport simulations. The quality of the perturbed PFNS is evaluated by comparing the deviation between the calculated k_{eff} and the benchmark value of the criticality assemblies. Through extensive sampling, a set of optimal PFNS is obtained. In addition, this work examines the sampling method based on the covariance matrix derived from differential experiments. The results indicate that sampling utilizing the covariance matrix directly provided by experiments yields a higher probability of obtaining results close to the optimal value, thereby facilitating the achievement of better PFNS with fewer sampling instances. Notably, in terms of the optimal value, the method of generating a covariance matrix using an assumed correlation matrix is very close to the method utilizing the experimentally provided covariance matrix. This indicates that for data lacking an experimentally provided covariance matrix, our method can still be utilized to obtain relatively optimized PFNS through a finite number of sampling iterations.

It is also important to note that the optimal $\delta k_{\text{eff}}^{\text{tot}}$ we ob-

524 tained is not identically equal to 0, but rather a small value
 525 close to 0, specifically approximately 0.002. Furthermore,
 526 based on the distribution of $\delta k_{\text{eff}}^{\text{tot}}$ obtained from the sampling
 527 iteration and the observed decreasing trend at low $\delta k_{\text{eff}}^{\text{tot}}$, it
 528 can be inferred that merely adjusting the PFNS of ^{239}Pu is in-
 529 sufficient to make the k_{eff} value calculated from transport cal-
 530 culations completely identical to the benchmark value. This
 531 is due to the existence of other microscopic quantities that af-
 532 fect k_{eff} , such as the cross-sections and the prompt neutron

533 multiplicities of the neutron induced reaction of ^{239}Pu . This
 534 work further suggests that mutual constraints between mul-
 535 tiple physical quantities can be achieved through criticality
 536 benchmark experiments. Moreover, this method, due to the
 537 added constraints from integral data, is beneficial for evaluat-
 538 ing differential quantities that lack experimental data. Addi-
 539 tionally, it facilitates the achievement of consistency between
 540 microscopic and macroscopic experimental data.

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